

Supporting in-house counsel and compliance officers

Providing trusted advice and support when you need it most

At a time when businesses face an unprecedented and complex web of technological transformation, globalisation and geopolitical uncertainty, today's in-house counsel and compliance officers are measured by not only how well they defend their organisations against fast-moving threats – in reactive and proactive senses – but also how effectively they advance enterprise objectives.

Our solutions

Control Risks' strives to help organisations remaining secure, compliant and resilient in an age of ever-changing risk and connectivity. Our multi-disciplinary consulting team lend their expertise and credibility to this wide variety of riskrelated matters. They draw on the knowledge and experience of researchers, analysts, security and technology specialists based around the globe to creatively deliver solutions in-house counsel and compliance officer's need to address risk and support critical decision making in a cost-effective manner. Our solutions include:

		
Transactions, partnerships and market entry	Ethics and compliance program development and execution	Regulatory matters and investigations
		
Cyber Incident Response	Crisis management, business continuity and threat management	Litigation and disputes



Transactions, partnerships and market entry

Human source intelligence, open source intelligence research, analysis and advice to help corporate counsel seize opportunities and support critical decision-making:

- Market entry advisory
- Partner identification and assessments
- Stakeholder mapping and engagement strategies
- Commercial and deal-focused intelligence
- Anti bribery and anti corruption due diligence
- Political and regulatory risk analysis
- Cyber due diligence of investment targets
- Business environment, cultural and ESG assessments



Ethics and compliance program development and execution

We take a multidisciplinary approach to help corporate counsel manage ethics and compliance risks in a way that is tailored to their operations and circumstances

- Anti-corruption, AML, sanctions and fraud compliance
- Ethics and compliance risk assessments and training
- Reviewing, implementing and benchmarking company compliance policies and procedures
- Advising on proactively managing changes in enforcement trends and political developments
- Establishing and testing internal controls
- Developing, testing, and implementing third party/vendor due diligence programs



Regulatory matters and investigations

From regulatory, compliance and government enforcement matters, through to evidence gathering, disclosure, strategy and remediation, we apply a range of investigative techniques including forensic accounting, intelligence gathering, data analytics and more across a wide range of matters, including:

- Regulatory investigations, including FCPA, AML and SEC matters
- White collar crime, fraud and employee misconduct investigations
- Identifying, managing, and monitoring supply chain risks
- Investigating human rights, modern slavery, and labor welfare issues



Cyber incident response

We combine 40 years of critical response heritage with cutting edge technical know-how to set direction for senior leaders and business managers dealing with cyber crises. Our global reach provides you with the guidance when and where you need it:

- Cyber crisis management advisory
- Forensics investigations, threat-hunting and technical investigation
- Threat intelligence analysis on attackers and their activities
- Threat actor communications and negotiations
- Remediation planning
- Preparation and planning to prepare management and counsel for their cyber crisis management responsibilities.



Crisis management, business continuity and threat management

We help organisations prepare for, respond to and recover from disruptive events. Our experts provide everything from program reviews and specialized training through to retained support on matters including but not limited to:

- Expert advice on reducing an actual crisis event's impact on people safety, reputation and business continuity
- Threat assessment and security risk management advisory support
- Expert third party assessment, improvement and development of crisis management, business continuity, and ERM programs
- Developing and facilitating realistic crisis simulations and trainings for senior executives



Litigation and disputes

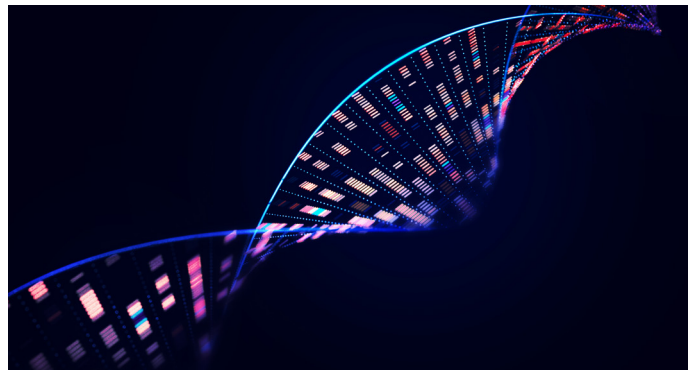
Control Risks works with clients and their counsel to assess counterparties and assets before action, investigate and conduct eDiscovery during a dispute, and support asset enforcement and recovery after a settlement, through:

- Investigative support
- Quantification of damages and merits assessment
- Forensic accounting
- Digital forensics and eDiscovery
- Expert witness testimony
- Asset enforcement
- Counterparty assessments
- Asset identification and valuation
- Recovery and counterparty review

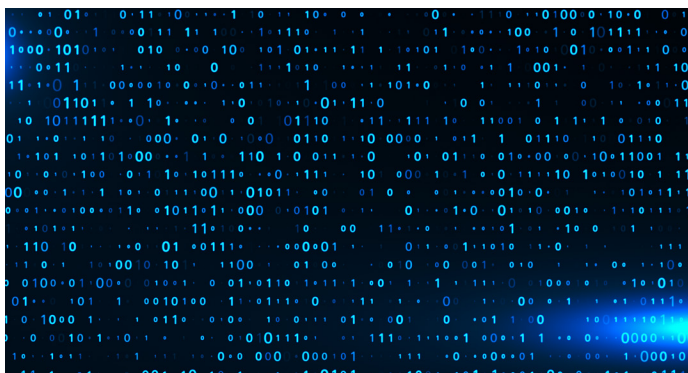
Our experience



Supported the in-house legal team of an investment fund looking to acquire a business with global operations by benchmarking its likely exposure to corruption and human rights risks across 40 different countries. Provided recommendations to strengthen the company's policies and procedures to match its future global footprint.



Supported a multinational pharmaceutical company with its crisis management and response to a large-scale regulatory investigation in China, providing crisis management coordination, evidence collection and investigative support while the client was receiving ongoing requests from various regulators in three countries.



Supported counsel on one of the largest FCPA/local corruption law matters in Vietnam. Mobilised a multidisciplinary team of forensic accountants, investigators and technology experts to perform data collection, document review, data analytics, transaction testing, intelligence gathering and investigative interviews to identify suspicious vendors, contracts and payments.



Supported a leading bank in India with an investigation into allegations of misconduct by a senior executive, involving extensive investigative and intelligence work in the market to ascertain the veracity of allegations.

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