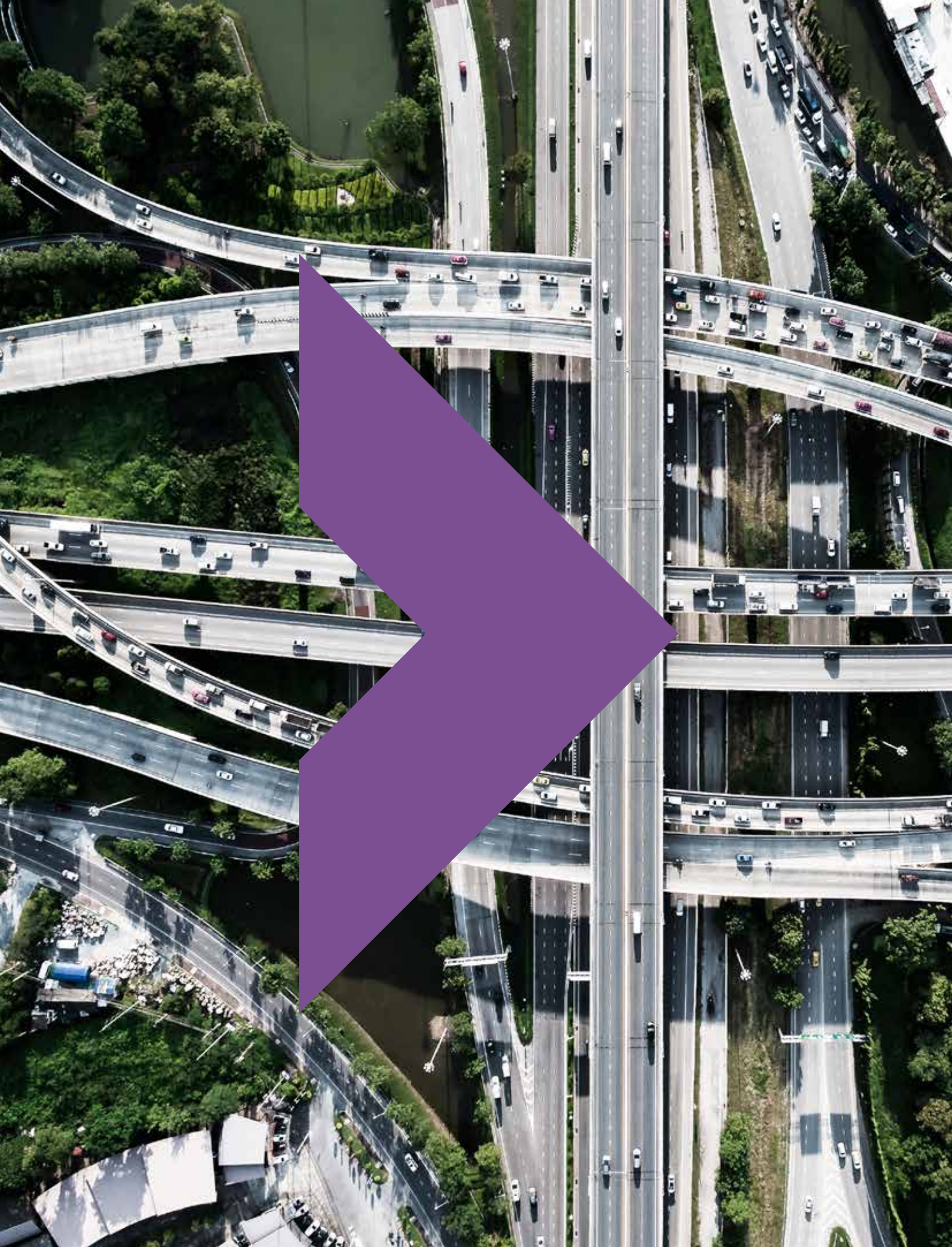




**Multi-disciplinary
support for
corporate counsel**



Control Risks is committed to helping our clients build organizations that are secure, compliant, and resilient in an age of ever-changing risk and connectivity.

We believe that taking risks is essential to success, so we provide the insight and intelligence organizations need to realize opportunities – whether they are looking to grow or simply maintain their trajectory, issues and potential crises abound.

Whether a client needs proactive compliance support; reactive investigative services related to corruption, fraud, AML, ESG, trade compliance, antitrust or cyber; forensic technology solutions, including data analytics and eDiscovery; or a

third-party risk technology platform or compliance monitoring solution; crisis readiness, response or recovery, workplace violence prevention, or threat management or executive protection, Control Risks delivers a broad range of specialized services to help clients solve the challenges and crises that may arise when organizations seek to operate ethically and convert risk into opportunity.

Control Risks partners with corporate and external counsel to provide unparalleled global expertise, local insight, and technology solutions. We act as a trusted advisor, supplementing in-house capabilities and consulting on knowledge gaps to help our clients operate more efficiently, better support internal stakeholders, maintain credibility and avoid liability and pitfalls across a wide range of matters



**Regulatory matters
and investigations**



**Litigation and disputes
support**



**Transactions, partnerships
and market entry**



**Crisis management,
business continuity
and threat management**



**Cyber incident
response**



**Ethics and compliance
program development
and execution**



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Zurich

3000 professionals

34 offices

5 continents



Regulatory matters and investigations

From regulatory enforcement matters to internal investigations, we provide a range of investigative support including forensic accounting, eDiscovery and computer forensics, advanced data analytics, enhanced due diligence, and more across a wide range of matters.

We support:

- ▶ Regulatory investigations, SEC, DOJ, OIG, FinCEN, and CFIUS matters;
- ▶ White collar crime, fraud, corruption, conflicts of interest and employee misconduct investigations;
- ▶ Monitorships and Special Consultancy engagements; and
- ▶ Human rights, modern slavery, and labor welfare issues.



Litigation and disputes support

Control Risks works with clients and their counsel to assess counterparties and assets before action, investigate and conduct eDiscovery during a dispute, and support asset enforcement and recovery after a settlement, through:

- ▶ Forensic accounting;
- ▶ Digital forensics and eDiscovery;
- ▶ Data analytics;

- ▶ Expert witness testimony;
- ▶ Asset tracing and recovery;
- ▶ Counterparty assessments;
- ▶ Global location mapping;
- ▶ Asset identification and valuation; and
- ▶ Recovery and counterparty review.



Transactions, partnerships and market entry

Crucial intelligence and advice to help corporate counsel seize opportunities and support critical decision-making. Our services and products include:

- ▶ Business environment, cultural and ESG assessments;
- ▶ Stakeholder mapping;
- ▶ Business intelligence;

- ▶ Risk analysis;
- ▶ Cyber due diligence;
- ▶ Trade compliance (including CFIUS and sanctions support); and
- ▶ Partner identification.



Crisis management, business continuity and threat management

We help organizations prepare for, respond to and recover from disruptive events. Our experts in crisis management and business continuity, along with our specialists in threat management and insider risk, provide everything from program reviews and specialized training through to retained support on matters including but not limited to:

- ▶ Threat assessment and corporate security risk management (including workplace violence);
- ▶ Information, technology and cyber risk mitigation;
- ▶ Providing expert 3rd party assessment, improvement and development of crisis management and business continuity programs;
- ▶ Developing and facilitating realistic crisis simulations and trainings for senior executives;
- ▶ Establishing mental health and duty of care programs.



Cyber incident response

We combine 40 years of critical response heritage with cutting edge technical know-how to set direction for senior leaders and business managers dealing with cyber crises. Our services include:

- ▶ Incident response;
- ▶ Information security investigations
- ▶ Insider threat monitoring and management; and
- ▶ Remediation planning and execution.



Ethics and compliance program development and execution

We take a multidisciplinary approach to help clients manage ethics and compliance risks in a way that is tailored to their operations and circumstances. Our services and products include:

- ▶ Ethics and compliance risk assessments and workshops;
- ▶ Compliance program maturity reviews;
- ▶ Reviewing, implementing and benchmarking company compliance policies and procedures;
- ▶ Third-party and compliance audits;
- ▶ VANTAGE: A suite of third-party risk and compliance products that have been designed for conducting a risk-based approach to high volume due diligence;
- ▶ Customized compliance monitoring solutions;
- ▶ Providing insight and helping organizations proactively manage changes in enforcement trends and political developments, including sanctions, that affect global governance standards;
- ▶ Internal controls development, testing and framework reviews; and
- ▶ Developing, testing, and implementing third party/vendor due diligence programs.

► Why Control Risks?

Our multi-disciplinary consulting team is comprised of professionals from an extensive range of backgrounds and professions who lend their expertise and credibility to a wide variety of risk-related matters

They draw on the in-house knowledge and experience of fraud examiners; forensic accountants; former officers from the law enforcement and intelligence community; security, cyber, data and technology specialists; former prosecutors; geopolitical risk analysts; and business intelligence, compliance

and ethics experts to efficiently and holistically address complex and sensitive matters. Combined with market-leading technology capabilities, we creatively deliver the insights and expertise General Counsel needs to address risk and support critical decision-making and sensitive matters.

To speak with our experts, contact us at controlrisks.com/enquiry

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★ AWARDS ★

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