



**Multi-disciplinary  
support for  
compliance and ethics  
professionals**





Control Risks is  
committed to helping  
our clients build  
organizations that  
are secure, compliant  
and resilient in an age  
of ever-changing risk  
and connectivity.

We believe that taking risks is essential to success, so we provide the insight and intelligence organizations need to realize opportunities – whether they are looking to grow or simply maintain their trajectory, issues and potential crises abound.

Whether a client needs proactive compliance support; reactive investigative services related to corruption, fraud, AML, ESG, trade compliance, antitrust or cyber; forensic technology solutions, including data analytics and eDiscovery; or a

third-party risk technology platform or compliance monitoring solution, Control Risks delivers a broad range of specialized services to help clients solve the challenges and crises that may arise when organizations seek to operate ethically and convert risk into opportunity.

Control Risks partners with compliance and ethics professionals to provide unparalleled global expertise, local insight, and technology solutions. We act as a trusted advisor, supplementing in-house

capabilities and consulting on knowledge gaps to help our clients operate more efficiently, better support internal stakeholders, maintain credibility and avoid liability and pitfalls across a wide range of matters.



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**Ethics and compliance  
program development  
and execution**



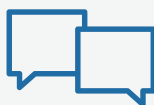
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**Transactions, partnerships  
and market entry**



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**Regulatory matters  
and investigations**



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**Litigation and disputes  
support**



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**Insider risk and  
threat management**



**3000** professionals

**35** offices

**5** continents



## Ethics and compliance program development and execution

**We take a multidisciplinary approach to helping clients manage ethics and compliance risks in a way that is tailored to their operations and circumstances. Our services and products include:**

- Ethics and compliance risk assessments and workshops
- Compliance program maturity reviews
- Reviewing, implementing and benchmarking company compliance policies and procedures
- Third-party and compliance audits
- VANTAGE: A suite of third-party risk and compliance products that have been designed to apply a risk-based approach to high-volume due diligence
- Customized compliance-monitoring solutions
- Providing insight and helping organizations proactively manage changes in enforcement trends and political developments that affect global governance standards
- Internal controls development, testing and framework reviews
- Developing, testing and implementing third party and vendor due diligence programs



## Transactions, partnerships and market entry

**Crucial intelligence and advice to help corporate counsel seize opportunities and support critical decision-making. Our services and products include:**

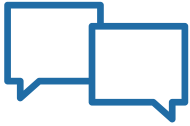
- Business environment, cultural, ethics and ESG assessments
- Stakeholder mapping
- Business intelligence
- Risk analysis
- Cyber due diligence
- Trade compliance, including CFIUS and sanctions support
- Partner identification



## Regulatory matters and investigations

**From regulatory enforcement matters to internal investigations, we provide a range of investigative support including forensic accounting, eDiscovery, computer forensics, advanced data analytics, enhanced due diligence and more across a wide range of matters. We can support:**

- Regulatory investigations and SEC, DOJ, OIG, FinCEN and CFIUS matters
- White collar crime, fraud, corruption, conflicts of interest and employee misconduct investigations
- Monitorships and special consultancy engagements
- Human rights, modern slavery and labor welfare issues



## Litigation and disputes support

Control Risks works with clients and their counsel to assess counterparties and assets before action, investigate and conduct eDiscovery during a dispute, and support asset enforcement and recovery after a settlement, through:

- Digital forensics and eDiscovery
- Data analytics
- Forensic accounting
- Expert witness testimony
- Asset tracing and recovery
- Counterparty assessments
- Global location mapping
- Asset identification and valuation
- Recovery and counterparty review



## Insider risk and threat management

We help organizations prepare for, respond to and recover from disruptive events. Our experts in crisis management and business continuity, along with our specialists in cyber security, threat management and insider risk, provide everything from monitoring, program reviews and specialized training through to retained support on matters including but not limited to:

- Insider threat monitoring and management
- Cyber incident response
- Information security investigations
- Threat assessment and corporate security risk management, including workplace violence
- Information, technology and cyber risk mitigation
- Providing expert third-party assessment, improvement and development of crisis management and business continuity programs
- Developing and facilitating realistic crisis simulations and trainings for senior executives
- Remediation planning and execution
- Establishing mental health and duty of care programs

## Why Control Risks?

**Our multidisciplinary consulting team comprises professionals from an extensive range of backgrounds and professions who lend their expertise and credibility to a wide variety of risk-related matters.**

They draw on the in-house knowledge and experience of business intelligence, compliance and ethics experts; fraud examiners; forensic accountants; former officers from the law enforcement and intelligence community; security, cyber, data and

technology specialists; former prosecutors and geopolitical risk analysts to efficiently and holistically address complex and sensitive matters. Combined with market-leading technology capabilities, we creatively deliver the insights and

expertise that compliance and ethics professionals need to address risk and support critical decision-making and sensitive matters.

To speak with our experts, contact us at [www.controlrisks.com/enquiry](http://www.controlrisks.com/enquiry)

### THE **EXCELLENCE** IN **COMPLIANCE** ★ AWARDS ★

PRESENTED BY COMPLIANCE WEEK

## Winner 2021

Recognized as one of the top Investigations Consultancies of 2021 by GIR and as the Best of Corporate Counsel in 11 categories including:







